

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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	:	Chapter 11
In re:	:	
	:	Case No. 08-13555 (SCC)
LEHMAN BROTHERS HOLDINGS, INC., <i>et al.</i>	:	
	:	(Jointly Administered)
Debtors.	:	
	:	Ref. Docket Nos. 57798, 57800,
	:	57829, 57999, 58052, 58053, 58054
	:	
-----	X	

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

FORREST KUFFER, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On May 15, 2018, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated May 15, 2018, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Forrest Kuffer  
Forrest Kuffer

Sworn to before me this  
15<sup>th</sup> day of May, 2018  
/s/ John Chau

\_\_\_\_\_  
Notary Public, State of New York  
No. 01CH6353383  
Qualified in Queens County  
Commission Expires January 23, 2021

## **EXHIBIT A**

In re
LEHMAN BROTHERS HOLDINGS INC., et al.,
Debtors.

Chapter 11 Case No.  
08-13555 (SCC)  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000127432240 \*\*\* LBH TRFNTC (MERGE2, TXNUM2) 4000180012



BANK HAPOALIM B.M.  
TRANSFEROR: ROTH IRA FBO RICHARD FELS PERSHING LLC AS CUSTODIAN  
18851 NE 29TH AVE  
AVENTURA, FL 33180

Please note that your claim # 5155854-52 in the above referenced case and in the amount of \$70,000.00 allowed at \$71,445.50 has been transferred (unless previously expunged by court order)

SENTA SELIGMANN C/O MORGAN STANLEY  
TRANSFEROR: BANK HAPOALIM B.M.  
11 BENJAMIN PLACE  
CHESTNUT HILL, MA 02467

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 57999 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 05/15/2018

Vito Genna, Clerk of Court

/s/ Marc Orfitelli

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on May 15, 2018.

## **EXHIBIT B**

Claim Name	Address Information
BANK HAPOALIM B.M.	TRANSFEROR: ROTH IRA FBO RICHARD FELS PERSHING LLC AS CUSTODIAN, 18851 NE 29TH AVE, AVENTURA, FL 33180
BANK HAPOALIM B.M.	TRANSFEROR: ROTH IRA FBO RICHARD FELS PERSHING LLC AS CUSTODIAN, 18851 NE 29TH AVE, AVENTURA, FL 33180
BANK JULIUS BAER & CO. LTD.	TRANSFEROR: BBVA (SUIZA) S.A., ATTN: PATRIK ROOS, BAHNOFSTRASSE 36, CH-8010 ZURICH SWITZERLAND
BBVA (SUIZA) S.A.	ATTN: INIGO BERASALUCE / DIRECTOR, ZELTWEG 63, ZURICH CH-8021 SWITZERLAND
BBVA (SUIZA) S.A.	BANCO BILBAO VIZCAYA ARGENTARIAS, S.A., ATTN: ANA HIDALGO/ ELENA PRIETO, PASEO DE LA CASELLANA, 81 - FLOOR 21, MADRID 28046 SPAIN
BBVA (SUIZA) S.A.	CLEARY GOTTLIEB STEEN & HAMILTON LLP, ATTN: ANDREW A. BERNSTEIN, ESQ., 12, RU DE TILSIIT, PARIS 75008 FRANCE
BBVA (SUIZA) S.A.	CLEARY GOTTLIEB STEEN & HAMILTON LLP, ATTN: SETH GROSSHANDLER, ESQ., ONE LIBERTY PLAZA, NEW YORK, NY 10006
BOERSCH, CHRISTOPH	TRANSFEROR: CITIBANK PRIVATKUNDEN AG & CO. KGAA, AM BIENENSTOCK 14 B, 60388 FRANKFURT GERMANY
CITIBANK PRIVATKUNDEN AG & CO. KGAA	C/O TARGOBANK AG & CO, KGAA, ATTN: LEGAL DEPARTMENT/RECHTSABTEILUNG, KASERNENSTRASSE 10, 40213 DUESSELDORF GERMANY
CITIBANK PRIVATKUNDEN AG & CO. KGAA	PAUL,WEISS,RIFKIND,WHARTON & GARRISON LLP, ATTN: DOUGLAS R. DAVIS, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019-6064
CITIBANK PRIVATKUNDEN AG & CO. KGAA	C/O TARGOBANK AG & CO, KGAA, ATTN: LEGAL DEPARTMENT/RECHTSABTEILUNG, KASERNENSTRASSE 10, 40213 DUESSELDORF GERMANY
CITIBANK PRIVATKUNDEN AG & CO. KGAA	PAUL,WEISS,RIFKIND,WHARTON & GARRISON LLP, ATTN: DOUGLAS R. DAVIS, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019-6064
CITIBANK PRIVATKUNDEN AG & CO. KGAA	C/O TARGOBANK AG & CO, KGAA, ATTN: LEGAL DEPARTMENT/RECHTSABTEILUNG, KASERNENSTRASSE 10, 40213 DUESSELDORF GERMANY
CITIBANK PRIVATKUNDEN AG & CO. KGAA	PAUL,WEISS,RIFKIND,WHARTON & GARRISON LLP, ATTN: DOUGLAS R. DAVIS, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019-6064
CITIBANK PRIVATKUNDEN AG & CO. KGAA	C/O TARGOBANK AG & CO, KGAA, ATTN: LEGAL DEPARTMENT/RECHTSABTEILUNG, KASERNENSTRASSE 10, 40213 DUESSELDORF GERMANY
CITIBANK PRIVATKUNDEN AG & CO. KGAA	PAUL,WEISS,RIFKIND,WHARTON & GARRISON LLP, ATTN: DOUGLAS R. DAVIS, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019-6064
CITIBANK PRIVATKUNDEN AG & CO. KGAA	C/O TARGOBANK AG & CO, KGAA, ATTN: LEGAL DEPARTMENT/RECHTSABTEILUNG, KASERNENSTRASSE 10, 40213 DUESSELDORF GERMANY
CITIBANK PRIVATKUNDEN AG & CO. KGAA	PAUL,WEISS,RIFKIND,WHARTON & GARRISON LLP, ATTN: DOUGLAS R. DAVIS, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019-6064
CREDIT SUISSE (SCHWEIZ) AG	TRANSFEROR: UBS AG, C/O CRAVATH, SWAINE & MOORE LLP, ATTN: MR. TREVOR BROAD, 825 8TH AVENUE, NEW YORK, NY 10019
CREDIT SUISSE (SCHWEIZ) AG	MRS. ST. SWEENEY, C/O KWJS & S, 200 WEST 41ST STREET, 17TH FLOOR, NEW YORK, NY 10036
LANGE, RALF GEORG	TRANSFEROR: CITIBANK PRIVATKUNDEN AG & CO. KGAA, HOECHERBERGSTR. 75, 66450 BEXBACH GERMANY
LEHMAN BROTHERS HOLDINGS INC.	TRANSFEROR: PRUDENTIAL GLOBAL FUNDING LLC, ATTN: DERIVATIVES LEGAL, 277 PARK AVENUE, 46TH FLOOR, NEW YORK, NY 10172
PRUDENTIAL GLOBAL FUNDING LLC	ATTN: NABANITA NAG, TWO GATEWAY CENTER, 5TH FLOOR, NEWARK, NJ 07102
PRUDENTIAL GLOBAL FUNDING LLC	ATTN: GEORGE ZIMMERMAN, LEA HABER KUCK & MARK MCDERMOTT, SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP, FOUR TIMES SQUARE, NEW YORK, NY 10036
SENTA SELIGMANN C/O MORGAN STANLEY	TRANSFEROR: BANK HAPOALIM B.M., 11 BENJAMIN PLACE, CHESTNUT HILL, MA 02467
SENTA SELIGMANN C/O MORGAN STANLEY	TRANSFEROR: BANK HAPOALIM B.M., 11 BENJAMIN PLACE, CHESTNUT HILL, MA 02467
SOUTHEY CAPITAL LTD.	TRANSFEROR: HSBC PRIVATE BANK MONACO S.A., ATTN: ROBERT SOUTHEY, SUITE 17,

Claim Name	Address Information
SOUTHEY CAPITAL LTD.	ENSIGN HOUSE, ADMIRALS WAY, LONDON E14 9XQ UNITED KINGDOM
UBS AG	BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, QQ9C/O5GC, P.O. BOX, ZURICH 8098 SWITZERLAND
VONWIN CAPITAL MANAGEMENT, LP	TRANSFEROR: SOUTHEY CAPITAL LTD., ATTN: CHARMAINE WILSON, 261 FIFTH AVENUE, 22ND FLOOR, NEW YORK, NY 10016
WERNWAG, MARTIN & WERNWAG, FLORIAN	TRANSFEROR: CITIBANK PRIVATKUNDEN AG & CO. KGAA, MUNCHENER STR. 16, 85748 GARCHING GERMANY

Total Creditor Count 33